

## **CREATIVE CONSTRUCTION CONFERENCE 2019**

## **BUDAPEST, HUNGARY, 29 JUNE – 2 JULY**

**KEYNOTE ADDRESS** 

PREVENTING THE COLLAPSE OF REINFORCED CONCRETE (RC) STRUCTURES, AND SUPPORT WORK DURING CONSTRUCTION

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# Introduction (1)

- According to the Construction Industry Development (cidb) (2009), during visits to 1 415 construction sites by Department of Labour (DoL) inspectors:
  - 1 388 (98.1% of the sites) notices were issued:
    - 86 (6%) improvement notices
    - 1 015 (73%) contravention notices
    - 287 (21%) prohibition notices
  - Furthermore, 52.5% of contractors were non-compliant
- The disabling injury incidence rate (DIIR) is a rate, per 200 000 hours worked, of disabling injuries due to all causes i.e. per 100 workers x 2 000 hrs / yr: 0.98 (cidb, 2009)
- Fatality rate per 100 000 workers: 25.5 (cidb, 2009)
- Australia: 3.3 in 2016 (Safe Work Australia, 2017) and UK: 1.94 in 2015 / 2016 (HSE, 2016)
  2



# **Introduction (2)**

The presentation and research is part of a journey - will it end?

- The following articles reflect the experience of collapses:
  - Constructing reinforced concrete frames without injury and fatality: The relationship between health and safety and quality, *The Civil Engineering and Building Contractor*, March 1997
  - Large-scale construction accidents Is there a trend? *ProjectPro*, September 1998
  - Slab and structural collapses can be prevented, SA Builder / Bouer, March 2002
  - Construction slab collapses: Could we prevent the next one? Safety Management, March 2002
  - Slab, deck, roof and ceiling collapses: Can they be prevented? Building Africa, May 2003
  - Twelve ingredients for optimum construction H&S...incident prevention, Safety Management, August 2004

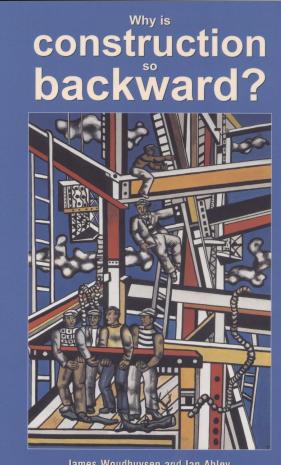


# **Introduction (3)**

- Accidents will continue to occur in construction until ....., Specifier, August 2004
- Preventing 'Accidents' in Construction, <u>http://www.cbe.org.za/PDF/Health\_and\_Safety\_Preventing\_Accidents\_Article.pdf</u> (requested by the Council for the Built Environment post-Tongaat Mall collapse, 19 November 2013)

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## Why is construction so backward?



James Woudhuysen and Ian Abley Foreword by Martin Pawley "So long as construction remains a backward industry, safety within it will be backward. So long as off-site manufacturing remains a footnight to general building, a lot of accidents are bound to happen in the hurly-burly rush to get on-site work completed on time ." (p. 43)

#### (Woudhysen and Abley, 2004) © 2014 : Prof JJ Smallwood

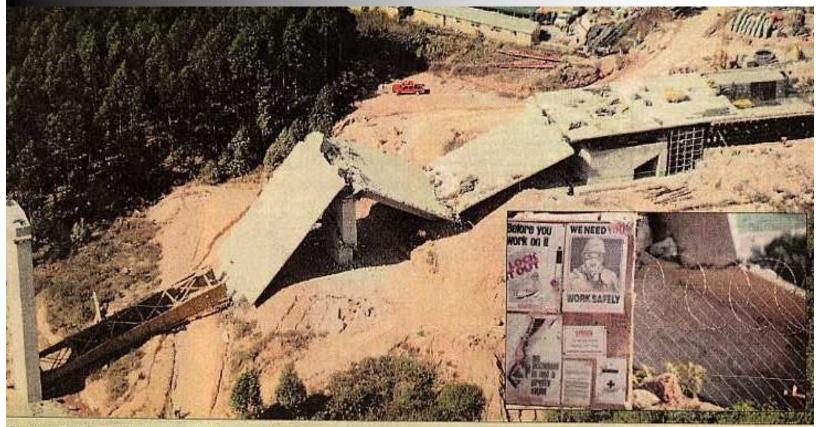
# Pretoria North Shopping Centre slab collapse (October, 1996)



(Davis, 1996)

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## Injaka Bridge collapse, Mpumalanga (July 1998)



Disaster area: The construction of a road bridge near Injaka Dam turned into disaster when it collapsed, instantly killing 12 people and injuring 15. Two of the injured died later. Insert - A reminder to workers of the dangers of working on a construction site. Full update on page 2. Photographs by Raymond Travers.

(Travers, 1998)

# Coega Bridge collapse, Port Elizabeth (November, 2003) (1)



(Markman, 2003)

# Coega Bridge collapse, Port Elizabeth (November, 2003) (2)



(Markman, 2003)

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# Cleveland Bridge collapse (M2) (July, 2004) (1)



(Tertius, 2004)

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## Cleveland Bridge collapse (M2) (July, 2004) (2)



(Tertius, 2004)



# Umhlanga Ridge collapse (1)



(Anonymous)



## Umhlanga Ridge collapse (2)



(Anonymous)

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# Tongaat Mall Collapse (19 November 2013) (1)



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## Tongaat Mall Collapse (19 November 2013) (2)



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## **Beacon Bay Hotel collapse (July 2015)**



(DispatchLIVE, 2015)

© 2016 : Prof JJ Smallwood

# M1 Highway Temporary Bridge collapse, Johannesburg (14 October 2015)



(Reuters)

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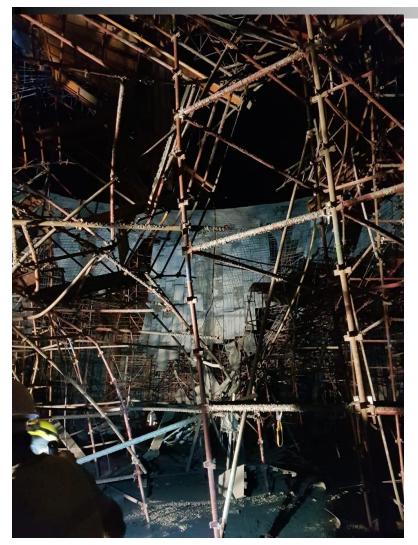
# Worcester Reservoir Collapse (14 October 2019) (1)



(Anonymous)

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## Worcester Reservoir Collapse (14 October 2019) (2)



(Anonymous)

© 2019 : Prof JJ Smallwood

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## Brazil bridge collapse, Belo Horizonte (3 July 2014) (1)



(Sweet, 2019)

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## Brazil bridge collapse, Belo Horizonte (3 July 2014) (2)



(Sweet, 2019)

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# Morandi Bridge, Genoa (14 August 2018) (1)



(Controlled explosion, 28 June 2019: Global Construction Review, 2019)

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# Morandi Bridge, Genoa (14 August 2018) (2)



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# Morandi Bridge, Genoa (14 August 2018) (3)



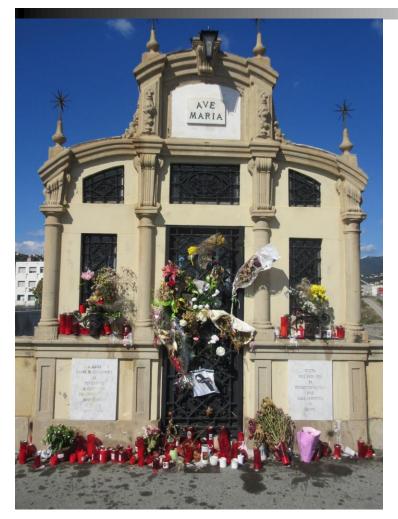
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# Morandi Bridge, Genoa (14 August 2018) (4)



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# Morandi Bridge, Genoa (14 August 2018) (5)



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# Morandi Bridge, Genoa (14 August 2018) (6)



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# Morandi Bridge, Genoa (14 August 2018) (7)



# Building collapse, Sihanoukville, Cambodia (22 June 2019)



(Associated Press, 2019)



**Issues (1)** 

- 'Failure of management' versus 'Accident'
- Construction is not inherently dangerous
- Risk management
- Respect for people
- People are our most important resource'
- Optimum H&S culture
- Optimum status for H&S H&S is a value not a priority
- Planning
- Construction is a Science, Art, and a Profession
- Sound Construction Management
- Tertiary Built Environment education that addresses construction H&S
- Sound core and surface competencies
- Integration of design and construction © 2016 : Prof JJ Smallwood

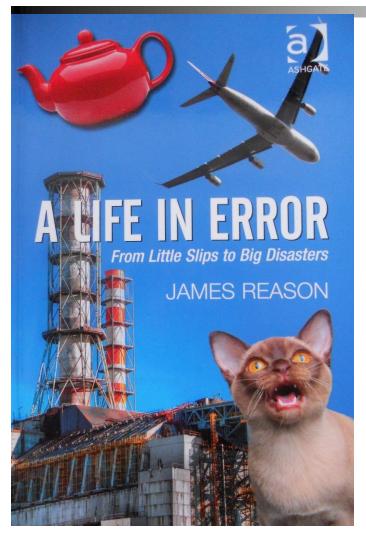


**Issues (2)** 

- Quality and Quality Management Systems
- Health and Safety Management Systems
- H&S is a 'profit centre'
- Elimination / Mitigation of 'excusitis'
- Consciousness and mindfulness

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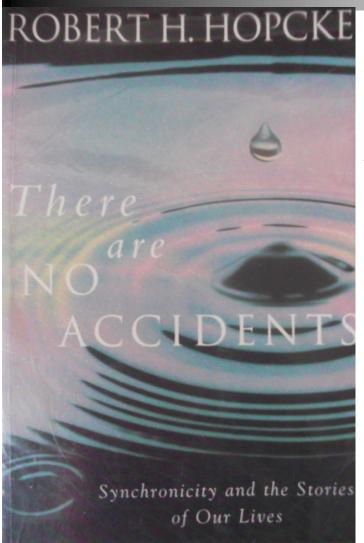
# **'Failure of management' versus 'Accident'(1)**



## **Chapter 8: Planning Failures**

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# 'Failure of management' versus 'Accident'(2)

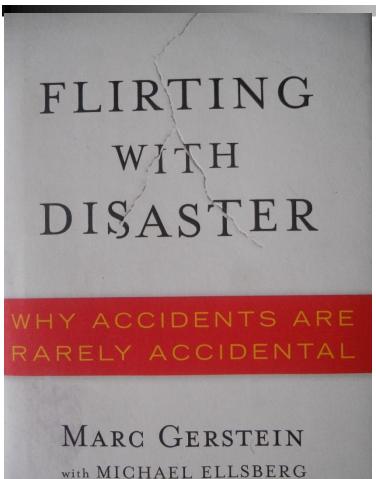


A different kind of coincidence, a confluence of events that shakes us up. Can see and feel a significance in the randomness. Like pure chance, or just a coincidence. However, Jung refers to it as synchronicity. (p. 3)

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# **'Failure of management' versus 'Accident'(3)**



Foreword and Afterword by DANIEL ELLSBERG

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# 'Failure of management' versus 'Accident'(4)



Stellenbosch Collapse (Anonymous, June 2008)

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## 'Failure of management' versus 'Accident'(5)



Stellenbosch Collapse (Anonymous, June 2008)

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## **'Failure of management' versus 'Accident' (6)**



Stellenbosch Collapse (Anonymous, June 2008)

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## **Consciousness and mindfulness (1)**

- Consciousness (Payutto, 1999):
  - "The perception and awareness of sensations, which will be related to particular intentions."
  - "The awareness of sensations, namely seeing, hearing, smelling, tasting, touching and cognising; the basic climate of the mind from moment to moment."
  - Fashioned into specific qualities by intention
- Through self-observation a person can see, be aware, and in control of his / her own body or mind-mindfulness:
  - This includes awareness of mind movement thoughts
  - Awareness of the constant changes of all mental phenomena resulting in intuitive wisdom, which in turn averts clinging to conditioned phenomena that would lead to suffering (Tanphaichitr, 2001).

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# **Consciousness and mindfulness (2)**

 Support work can be used to explain the role of optimum consciousness and mindfulness. The intention to realise optimum H&S will engender optimum observation and cognising relative to inadequate support work consciousness. Mindfulness will result in, among other, intuitive wisdom, which will prevent clinging to the conditioned phenomenon of cost i.e. reducing the centres of standards or omitting bracing to reduce cost, which could result in a collapse and suffering of workers.

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#### This is not the result of consciousness and mindfulness



Stellenbosch Collapse (Anonymous, June 2008)

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# Construction is a Science, Art, and a Profession / Sound Construction Management (1)



Scaffolding, Bradford on Avon (Smallwood, August 2014)

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# Construction is a Science, Art, and a Profession / Sound Construction Management (2)



Scaffolding, Bradford on Avon (Smallwood, August 2014)

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#### The Golden Rule (1)

Eckhardt (2001) says the 'golden rule', which establishes a moral level of care for others that we are responsible to provide, is a common theme in most, if not all, of the world's major religions:

- Buddhist: hurt not others in ways that you would find hurtful
- Christian: all things whatsoever he would that men should do to you, do ye even so to them;
- Confucian: do not unto others what you would not have them do unto you
- Hindu: this is the sum of the duty; do naught unto others which if done to thee would cause thee pain
- Islamic: no one of you is a believer until he desires for his brother that which he desires for himself



#### The Golden Rule (2)

- Jain: in happiness and suffering, in joy and grief, we should regard all creatures as we regard our own self
- Sikh: as thou deemest thyself, so deem others
- Taoist: regard your neighbours gain as your own gain, and your neighbour's loss as your own loss
- Zoroastrian: that nature alone is good which refrains from doing unto another whatsoever is not good for itself



#### The Golden Rule (3)

Statement		MS				
Statement	2002	2018	Var.			
A price cannot be put on a person's life	4.94	4.94	0.00			
Values influence a person's concern for another person's well-being	4.53	4.89	0.36			
People have a body, mind, and a soul	4.82	4.83	0.01			
People are an organisation's most important resource	4.88	4.82	(0.06)			
Values are important for H&S	4.82	4.82	0.00			
Non-compliance with legislation is unethical	4.35	4.78	0.43			
Exclusive / Primary focus on cost compromises H&S	4.00	4.72	0.72			
We as people are 'our brother's (sister's) keeper'	4.12	4.67	0.55			
Workers should be assigned work which suits their abilities	4.13	4.67	0.54			
People and the environment (nature) are inter-connected	4.41	4.65	0.24			
H&S should be a value and not a priority	4.50	4.56	0.06			
A healthy and safe work place results in justice and equity (fairness)	3.94	4.56	0.62			
A healthy and safe work place results in dignity of labour	3.82	4.56	0.74			
Accidents result in hardship to the injured	4.59	4.56	(0.03)			
Optimum H&S engenders sustainability of the organisation	4.24	4.56	0.32			
Management is responsible for workers' well-being	3.88	4.44	0.56			
Belief in and practice of a religion influences a person's values	3.94	4.35	0.41			
A healthy and safe work place results in avoidance / removal of hardship	3.76	4.35	0.59			
Optimum H&S engenders sustainability of the earth	4.06	4.24	0.18			
Optimum H&S reduces waste	4.59	4.17	(0.42)			
Work is a deed of spiritual value	3.65	3.44	(0.21)			

Table 1: Comparison of the 2018 versus the 2002 degree of concurrence with 'morality' related statements (MS = 1.00 - 5.00).

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#### **Research method (1)**

- Exploratory study to determine:
  - Importance of project parameters to respondents' organisations
  - Importance of 55 factors relative to preventing the collapse of RC structures during construction
  - Importance of 30 factors relative to optimum support work and formwork and the integrity of structures under construction
- Thirty (30) Responses were received from a convenience sample of six general contractors (GCs) and one construction project management practice. A further thirteen (13) responses were received from the Construction Management alumni of the then University of Port Elizabeth (UPE), and at the time, NMMU
- A total of fourty-three (43) responses were included in the analysis of the data



#### **Research method (2)**

- Descriptive statistics:
  - Frequencies (percentages)
  - Measure of central tendency (mean score) between 1.00 and 5.00
- Factor analysis was conducted to identify whether a number of variables of interest, are linearly related to a smaller number of unobservable factors

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## **Research findings (1)**

	Response (%)							
Parameter		Limited.				Major	MS	Rank
	U	1	2	3	4	5		
Project quality	0.0	0.0	0.0	2.4	9.8	87.8	4.85	1
Project cost	0.0	0.0	0.0	0.0	17.1	82.9	4.83	2
Project time	0.0	0.0	0.0	2.4	19.5	78.0	4.76	3
Project H&S	0.0	0.0	0.0	7.3	9.8	82.9	4.76	4
Environment	0.0	0.0	4.9	7.3	26.8	61.0	4.44	5

Table 2: Importance of project parameters to respondents' organisations (MS = 1.00 – 5.00) (Smallwood, 2016)



# **Research findings (2)**

	Response (%)							
Factor	U	Limit	ted			Major	MS	Rank
		1	2	3	4	5		
Construction Management's construction management competencies	0.0	0.0	0.0	4.7	7.0	88.4	4.84	1
Design of the permanent structure	2.3	0.0	0.0	2.3	11.6	83.7	4.83	2
Registration of Engineering Designers	0.0	2.4	0.0	2.4	7.1	88.1	4.79	3
Construction Management's structural competencies	0.0	0.0	0.0	9.3	4.7	86.0	4.77	4
Construction hazard identification and risk assessments (HIRAs)	0.0	2.4	0.0	4.9	4.9	87.8	4.76	5
Design HIRAs	0.0	0.0	0.0	2.3	20.9	76.7	4.74	6
Construction Management's temporary works design competencies	0.0	0.0	0.0	9.3	7.0	83.7	4.74	7
Registration of Construction Managers	0.0	2.4	0.0	2.4	14.3	81.0	4.71	8
Temporary Works Designers' temporary works design competencies	0.0	0.0	2.3	2.3	18.6	76.7	4.70	9
Dedicated contractor supervision of the structure during construction	0.0	0.0	2.4	2.4	19.0	76.2	4.69	10

Table 3: Top 10 / 55 factors in terms of the importance of factors relative to preventing the collapse of RC<sub>49</sub> structures during construction (MS = 1.00 – 5.00) (Smallwood, 2016)

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## **Research findings (3)**

	Response (%)							
Factor	U	Lir	nited		Ма	jor	MS	Rank
	0	1	2	3	4	5		
Pre-pour designer inspection: Support work and formwork	0.0	0.0	0.0	2.4	4.8	92.9	4.90	1
Founding of support work	0.0	0.0	0.0	2.4	12.2	85.4	4.83	2
Pre-pour designer inspection: Reinforcing steel	0.0	0.0	0.0	0.0	19.0	81.0	4.81	3
QMS during construction	2.3	0.0	2.3	0.0	11.6	83.7	4.81	4
Sound structural design	0.0	0.0	0.0	7.1	4.8	88.1	4.81	5
Reconciliation of erected with design	2.4	0.0	0.0	4.8	11.9	81.0	4.78	6
QMS during design (Support work)	2.3	0.0	2.3	0.0	16.3	79.1	4.76	7
Back propping as per requirements	2.4	0.0	0.0	4.8	14.3	78.6	4.76	8
Concrete strength upon striking of support work	2.4	0.0	0.0	7.1	9.5	81.0	4.76	9
Competencies of temporary works designer	0.0	0.0	2.3	0.0	18.6	79.1	4.74	10
Condition of components	0.0	0.0	0.0	2.4	23.8	73.8	4.71	11
Periodic inspections during pouring	2.4	0.0	0.0	2.4	23.8	71.4	4.71	12
Concrete strength as per specified	2.4	0.0	0.0	4.8	19.0	73.8	4.71	13
Back propping layouts	2.4	0.0	0.0	4.8	19.0	73.8	4.71	13
Dedicated support work supervision	0.0	0.0	0.0	7.1	19.0	73.8	4.67	15

Table 4: Top 15 / 30 factors in terms of the importance of factors relative to optimum support work and<br/>formwork and the integrity of structures under construction (MS = 1.00 – 5.00) (Smallwood, 2016)© 2016 : Prof JJ Smallwood



## **Research findings (4)**

Factor	Loading per factor								
Factor	1	2	3	4	5	6			
Registration of:									
Project managers	-0.063	0.335	0.591	0.119	0.000	0.067			
Architectural designers	-0.157	0.262	0.686	0.262	0.225	0.049			
Engineering designers	0.415	-0.029	0.646	0.027	-0.248	0.040			
Quantity surveyors	-0.174	0.192	0.609	0.253	0.096	0.211			
Construction managers	0.398	0.018	0.712	-0.109	-0.335	0.138			
H&S Agents (Client appointed)	-0.232	0.578	0.272	0.182	0.172	0.130			
H&S Managers	-0.189	0.800	0.258	-0.090	0.045	0.145			
H&S Officers	0.071	0.833	0.099	0.088	-0.046	0.069			
Municipal approval of plans (prior to construction)	0.080	0.011	0.246	0.370	-0.064	0.668			
Construction Work Permit (DoL)	0.071	0.202	0.004	0.074	-0.055	0.706			
Project risk management (overall)	0.311	0.272	0.096	-0.225	0.412	0.569			
Project H&S management (overall)	0.224	0.257	0.116	0.445	0.143	0.366			
Project quality management (overall)	0.538	0.097	0.437	-0.051	-0.141	0.458			
Design of the permanent structure	0.536	0.098	0.548	0.105	0.092	-0.115			
Design hazard identification and risk assessments (HIRAs)	0.560	0.165	-0.279	0.170	0.307	0.020			
3 <sup>rd</sup> party review of the design of the permanent structure	0.147	0.163	0.179	0.733	0.049	0.022			
Temporary works design (scientific)	0.605	0.040	-0.119	0.143	0.019	0.123			
Integration of design and construction	0.630	-0.023	-0.052	0.167	0.216	0.112			
H&S Specification (issued to the designers)	0.076	0.411	0.097	0.716	0.277	0.214			
Designer report submitted to the client ito a response to the H&S Specification	0.193	0.509	-0.026	0.632	0.130	0.079			

Table 5A: Pattern matrix for the importance of factors relative to preventing the collapse of RC structures during construction (Smallwood, 2016)



# **Research findings (5)**

Factor	Loading per factor								
Factor	1	2	3	4	5	6			
Project risk schedule (overall)	0.250	0.235	0.011	0.370	0.618	0.260			
H&S Specification (issued to the Principal Contractor)	0.223	0.680	-0.027	0.253	0.190	0.314			
H&S Plan (Principal Contractor)	0.252	0.740	-0.075	0.238	0.107	0.341			
H&S Plan (Contractors) e.g. support work contractor	0.295	0.739	-0.205	0.292	0.063	0.098			
H&S Agents' (Client appointed):									
Project management competencies	0.073	0.394	0.465	0.496	0.349	-0.008			
Construction management competencies	0.106	0.497	0.469	0.482	0.319	-0.032			
Structural competencies	0.403	0.422	0.470	-0.114	0.481	-0.032			
Temporary works design competencies	0.557	0.344	0.360	0.055	0.343	-0.151			
H&S competencies	0.244	0.621	0.023	0.562	0.106	0.131			
Construction Management's:									
Construction management competencies	0.693	0.335	0.027	-0.013	0.217	0.197			
Structural competencies	0.549	0.392	0.063	-0.220	0.455	0.203			
Temporary works design competencies	0.592	0.328	0.033	-0.190	0.359	0.245			
H&S competencies	0.212	0.579	0.048	0.341	0.360	0.209			
Temporary Works Designers':									
Project management competencies	0.211	-0.005	0.104	0.276	0.657	-0.081			
Construction management competencies	0.423	0.043	0.035	0.148	0.666	-0.055			
Structural competencies	0.624	0.056	-0.080	0.279	0.397	-0.230			
Temporary works design competencies	0.639	0.026	-0.172	0.331	0.227	-0.176			
H&S competencies	0.315	0.572	-0.085	0.323	0.359	-0.044			

Table 5B: Pattern matrix for the importance of factors relative to preventing the collapse of RC structures during construction (Smallwood, 2016)



## **Research findings (6)**

	Loading per factor							
Factor	1	2	3	4	5	6		
H&S Officers':								
Construction management competencies	0.219	0.639	0.169	0.116	0.160	0.051		
Structural competencies	0.277	0.706	0.255	-0.080	0.135	-0.092		
Temporary works design competencies	0.262	0.719	0.231	0.020	0.086	-0.157		
H&S competencies	0.362	0.592	0.116	0.525	0.089	-0.001		
Contractor risk management system	0.671	0.542	0.113	0.109	0.115	0.161		
Contractor H&S management system	0.665	0.540	0.136	0.198	0.162	0.100		
Contractor quality management system	0.829	0.067	0.309	0.092	-0.051	0.063		
Contractor project risk management plan	0.816	0.195	0.218	0.033	0.154	0.073		
Contractor project quality plan	0.727	-0.023	0.372	0.257	0.105	0.177		
Contractor planning	0.700	0.092	0.109	0.051	0.026	0.105		
Construction hazard identification and risk assessments (HIRAs)	0.709	0.460	0.006	0.376	0.006	-0.158		
Construction method statements (generic)	0.320	0.102	0.424	-0.101	0.150	0.213		
H&S method statements	0.181	0.771	0.284	0.241	-0.182	0.171		
Safe work procedures (SWPs)	0.151	0.723	0.294	0.345	-0.307	0.012		
Dedicated contractor supervision of the structure during construction	0.828	0.230	0.151	0.037	-0.041	0.145		
Close contractor supervision of the structure during construction	0.770	0.201	0.164	-0.008	0.193	-0.056		
Close engineering designer supervision of the structure during construction	0.380	0.096	0.441	-0.010	0.177	-0.108		

Table 5C: Pattern matrix for the importance of factors relative to preventing the collapse of RC<br/>structures during construction (Smallwood, 2016)53© 2016 : Prof JJ Smallwood



# **Research findings (7)**

			. ,	1	-
Factor			ing per f	actor	
	1	2	3	4	5
Sound structural design	0.328	0.081	-0.076	0.273	0.002
H&S Management System (Principal	0.006	0 7 2 0	0 0 0 0	0 000	0.005
Contractor)	0.026	0.729	-0.029	0.089	-0.005
Quality Management System (QMS) during:					
Design (Structure)	0.670	0.067	0.122	0.131	0.088
Construction	0.688	0.192	0.176	0.315	0.105
Design (Support work)	0.772	0.161	0.111	0.336	0.126
Scientific support work design	0.320	0.094	0.394	0.292	0.138
Competencies of temporary works designer	0.564	0.319	0.188	0.392	0.321
H&S Plan (Principal Contractor)	0.381	0.846	0.107	0.078	0.235
H&S Plan (Contractors) e.g. support work contractor	0.223	0.706	0.258	0.123	0.298
Construction method statements (generic)	0.326	0.269	0.529	0.294	-0.002
H&S method statements	0.068	0.835	0.313	0.223	0.092
Safe work procedures (SWPs)	0.140	0.811	0.183	0.148	0.140
Dedicated support work supervision	0.519	0.089	0.075	0.347	0.456
Condition of components	0.478	0.272	0.249	0.340	0.303

Table 6A: Pattern matrix for the importance of factors relative to optimum support work andformwork and the integrity of structures under construction (Smallwood, 2016)

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## **Research findings (8)**

Loading per factor						
Factor	1	2	3	4	5	
Testing of components	0.153	0.026	0.642	0.347	-0.198	
Maintenance of components	0.454	0.063	0.218	0.597	0.068	
Founding of support work	0.649	0.102	0.231	0.345	0.326	
Reconciliation of erected with design	0.364	0.029	0.157	0.535	0.139	
Pre-pour designer inspection:						
Reinforcing steel	0.328	0.125	0.086	0.469	0.230	
Support work and formwork	0.674	0.133	0.090	0.421	0.200	
Concrete strength as per specified	0.178	0.198	0.242	0.829	0.154	
Compaction of concrete	0.265	0.266	0.324	0.551	0.083	
Concrete strength upon striking of support work	0.141	0.183	0.181	0.712	0.446	
Back propping layouts	0.317	0.269	0.147	0.246	0.734	
Back propping as per requirements	0.313	0.251	0.139	0.305	0.841	
Periodic inspections during erection	0.273	0.270	0.738	0.074	0.414	
Periodic inspections during pouring	0.582	0.503	0.368	0.082	0.129	
Periodic inspections during striking	0.021	0.240	0.818	0.159	0.258	
Periodic inspections during the back- propping period	0.537	0.372	0.484	0.033	0.242	
Circumspect loading of slabs and other elements during the back-propping period	0.604	0.127	0.313	0.013	0.225	

Table 6B: Pattern matrix for the importance of factors relative to optimum support work and<br/>formwork and the integrity of structures under construction (Smallwood, 2016)55© 2016 : Prof JJ Smallwood55



# **Research findings (9)**

- Six factors were identified in terms of the 'importance of factors relative to preventing the collapse of RC structures during construction', the factors with loadings > 0.400 being:
  - Factor 1 includes: registration of engineering designers; project quality management (overall); design of the permanent structure; design hazard identification and risk assessments (HIRAs); temporary works design (scientific); integration of design and construction; structural, and temporary works design competencies of H&S Agents; construction management competencies, structural competencies, and temporary works design competencies of both Construction Management and **Temporary Works Designers; contractor risk management system;** contractor H&S management system; contractor quality management system; contractor project risk management plan; contractor project quality plan; contractor planning; construction HIRAs; dedicated contractor supervision of the structure during construction, and close contractor supervision of the structure during construction 56 © 2016 : Prof JJ Smallwood



# **Research findings (10)**

Factor 2 includes: registration of H&S Agents (Client appointed), H&S Managers, and H&S Officers; H&S Specification (issued to the designers); designer report submitted to the client ito a response to the H&S Specification; H&S Specification (issued to the Principal Contractor); H&S Plan (Principal Contractor); H&S Plan (Contractors); H&S Agents' construction management competencies, structural competencies, and H&S competencies; Construction Management's H&S competencies; Temporary Works Designers' H&S competencies; H&S Officers' construction management competencies, structural competencies, temporary works design competencies, and H&S competencies; contractor risk management system; contractor H&S management system; construction HIRAs, and H&S method statements, and SWPs



# **Research findings (11)**

- Factor 3 includes: registration of Project managers, Architectural designers, Engineering designers, Quantity surveyors, and Construction managers; project quality management (overall); design of the permanent structure; H&S Agents' (Client appointed) project management competencies, construction management competencies, and structural competencies; construction method statements (generic), and close engineering designer supervision of the structure during construction
- Factor 4 includes: project H&S management (overall); 3rd party review of the design of the permanent structure; H&S Specification (issued to the designers); designer report submitted to the client ito a response to the H&S Specification; H&S Agents' (Client appointed) project management competencies, construction management competencies, and H&S competencies, and H&S Officers' H&S competencies



# **Research findings (12)**

- Factor 5 includes: project risk management (overall); project risk schedule (overall); H&S Agents' (Client appointed) structural competencies; Construction Management's structural competencies; Temporary Works Designers' project management competencies, and construction management competencies
- Factor 6 includes: municipal approval of plans (prior to construction); construction work permit (DoL); project risk management (overall), and project quality management (overall)
- Five factors were identified in terms of the importance of factors relative to optimum support work and formwork and the integrity of structures under construction, the factors with loadings > 0.400 being:
  - Factor 1 includes: Quality Management System (QMS) during design (structure), construction, and design (support work); competencies of temporary works designer; dedicated support work supervision;



# **Research findings (13)**

condition of components; maintenance of components; founding of support work; pre-pour designer inspection (support work and formwork); periodic inspections during pouring; periodic inspections during the back propping period, and circumspect loading of slabs and other elements during the back propping period

- Factor 2 includes: H&S Management System (Principal Contractor); H&S Plan (Principal Contractor); H&S Plan (Contractors); H&S method statements; SWPs, and periodic inspections during pouring
- Factor 3 includes: construction method statements (generic); testing of components; periodic inspections during erection; periodic inspections during striking, and periodic inspections during the back propping
- Factor 4 includes: maintenance of components; reconciliation of erected with design; pre-pour designer inspection (reinforcing steel) and (support work and formwork); concrete strength as per specified; compaction of concrete, and concrete strength upon striking of support work
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# **Research findings (14)**

 Factor 5 includes: dedicated support work supervision; concrete strength upon striking of support work; back propping layouts; back propping as per requirements, and periodic inspections during erection



#### **Research summary**

- Importance of factors in terms of preventing the collapse of RC structures during construction:
  - 42 / 55 (76.4%) factors are of near major to major / major importance
  - 12 / 55 (21.8%) factors are of importance to near major / near major importance
  - 1 / 55 (1.8%) factor is of near minor importance to importance / importance
- Importance of factors relative to optimum support work and formwork and the integrity of structures under construction:
  - 30 / 30 (100.0%) factors are of near major to major / major importance



#### **Conclusions (1)**

- Importance of project parameters:
  - The industry collectively is perpetuating the paradigm of 'cost, quality, and time' to the detriment of H&S
- Importance of factors in terms of preventing the collapse of RC structures during construction:
  - Identification of six 'groups' of factors
  - The requisite 'cocktail' of factors must be in place and to an optimum extent
  - Competencies, design, registration of built environment professionals, HIRAs, supervision, quality management, H&S management, risk management, planning and H&S planning in various forms, integration of design and construction, and the construction work permit, are all important as clusters or individually



## **Conclusions (2)**

- The importance of factors relative to optimum support work and formwork and the integrity of structures under construction:
  - Identification of five 'groups' of factors
  - The requisite 'cocktail' of factors must be in place and to an optimum extent
  - Quality management, competencies, supervision, a range of support work aspects, inspections, circumspect loading, H&S management, planning and H&S planning in various forms, and conformance to requirements, are all important as clusters or individually



# **Recommendations (1)**

- Ultimately, conformance to requirements is the key, which includes, among other, municipal approval of building plans, and the construction work permit (South Africa)
- However, a pre-requisite for conformance to requirements is that many of the requirements should be scientifically evolved and communicated
- However, in parallel, the required competencies must exist else the aforementioned cannot be achieved. Competencies in turn can only be assured through a formal registration process such as that required by the six South African built environment councils
- Registration of contractors should interrogate H&S, quality, and risk management systems and practices



## **Recommendations (2)**

- Clearly, contractors should also be also be pre-qualified in terms of H&S, quality, and risk management systems and practices
- Ideally, multi-stakeholder project H&S, quality, and risk plans should be evolved
- Design and construction must be integrated and the 'grey areas' relative to achieving same must be addressed
- General construction management and H&S planning must be a hallmark of all projects
- Management and supervision are critical, as both planning and execution are important

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